



Receipt

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Jonathan R. Cohen, et al. Docket No: 60231-300104  
Serial No: 09/823,628 Group Art Unit: 2675  
Filing Date: March 30, 2001 Examiner: Not Yet Assigned  
For: **COMPUTERIZED INTERACTOR SYSTEMS AND METHODS FOR PROVIDING SAME**

Office of Initial Patent Examination  
Customer Service Center  
Commissioner For Patents  
Washington, D.C. 20231

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SEP 04 2001

Technology Center 2600

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Enclosed is a red-lined copy of the Filing Receipt showing the change, for the above-identified patent application, and a copy of the Utility Patent Application Transmittal bearing an Express Mail Certificate dated March 31, 2001 and a copy of page one of the Specification, and evidencing the desired correction. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

This application is incorrectly entered as :

**"THIS APPLICATION IS A DIV OF 08/801,185 02/18/1997ABN  
WHICH CLAIMS BENEFIT OF 60/001,875 08/03/1995"**

Please correct this application to read:

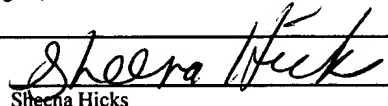
**"THIS APPLICATION IS A DIV OF 08/801,085 02/18/1997ABN  
WHICH CLAIMS BENEFIT OF 08/692,830 07/29/1996  
WHICH CLAIMS BENEFIT OF 60/001,875 08/03/1995"**

Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

**CERTIFICATE OF MAILING (37CFR 1.8 (a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on July 20, 2001 with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231.


Date: July 20, 2001

  
Sheena Hicks

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account 02-3964.

Respectfully submitted,

Dated: July 20, 2001

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Brian R. Coleman  
Reg. No. 39,145

OPPENHEIMER WOLFF & DONNELLY LLP  
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1400 Page Mill Road  
Palo Alto, CA 94304  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/823,628         | 03/30/2001  | 2675         | 710           | 60231-300104    | 27       | 7          | 1          |

CONFIRMATION NO. 9482

## FILING RECEIPT

OPPENHEIMER WOLFF & DONNELLY, LLP  
Suite 600  
1620 L Street NW  
Washington, DC 20036

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\*OC00000005994115\*

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Technology Center 2600

Date Mailed: 04/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jonathan R. Cohen, San Francisco, CA;  
Debby Hindus, San Francisco, CA;  
Bonnie M. Johnson, Palo Alto, CA;  
Andrew J. Singer, Palo Alto, CA;  
Lisa J. Stifelman, Cambridge, CA;  
William L. Verplank, Menlo Park, CA;  
Scott C. Wallters, Cupertino, CA;  
M. Margaret Withgott, Los Altos Hills, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 08/801,185 02/18/1997 ABN \*  
WHICH CLAIMS BENEFIT OF 60/001,875 08/03/1995

(\*) Data inconsistent with PTO records.

## Foreign Applications

THIS APPLICATION IS A DIV OF 08/801,085 02/18/1997 ABN  
WHICH CLAIMS BENEFIT OF 08/692,830 07/29/1996  
WHICH CLAIMS BENEFIT OF 06/001,875 08/03/1995



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|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/823,628         | 03/30/2001  | 2675         | 710           | 60231-300104    | 27       | 7          | 1          |

CONFIRMATION NO. 9482

OPPENHEIMER WOLFF & DONNELLY, LLP  
Suite 600  
1620 L Street NW  
Washington, DC 20036

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Technology Center 2600

## FILING RECEIPT:



\*OC000000005994115\*

Date Mailed: 04/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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THIS APPLICATION IS A DIV OF 08/801,185 02/18/1997 ABN \*  
WHICH CLAIMS BENEFIT OF 60/001,875 08/03/1995  
(\* ) Data inconsistent with PTO records.

## Foreign Applications

**If Required, Foreign Filing License Granted 04/20/2001**

**Projected Publication Date:** To Be Determined -, pending completion of Corrected Papers

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Computerized interactor systems and methods for providing same

**Preliminary Class**

345

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**Data entry by :** LE, THANH-LAN

**Team :** OIPE

**Date:** 04/23/2001





**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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*Technology Center 2600*

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

Attorney Docket No.: 60231-300104

First Named Inventor: COHEN et al.

**RECEIVED**

**SEP 04 2001**

**EH772166026US**

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Commissioner for Patents Box New Applications" in accordance with 37 CFR §1.10 with Mailing Label Number EH772166026US

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))** Technology Center 2600  
(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

For: **COMPUTERIZED INTERACTOR SYSTEMS AND METHODS FOR PROVIDING SAME**

This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part  
of prior Application No.: **08/801,085** which priority under 35 U.S.C. §120 is claimed.

Application Elements:

☒ 36 Pages of Specification, Claims and Abstract  
☒ 27 Sheets of Drawings  
☒ 3 Pages Combined Declaration and Power of Attorney  
☒ Newly executed ( copy)  
☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional). The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Power of Attorney  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations



☐ Preliminary Amendment (New claims numbered after highest original claim in prior application.)

☒ Return Receipt Postcard

Amendments

☒ Amend the specification by inserting before the first line the sentence: "This is a

☐ Continuation

☐ Continuation-in-part

☒ Divisional

application of copending prior

☒ Application No. 08/801,085 filed on February 14, 1997

☒ Please cancel claims 1-23, 25-30 and 37-48

☒ Applicants hereby elects to prosecute species II, Claims 24, 26, 31-36 only. Please suspend prosecution until a Preliminary Amendment is filed.

Fee Calculation (37 CFR § 1.16)

|   | (Col. 1)<br><u>NO. FILED</u> | (Col. 2)<br><u>NO. EXTRA</u> | <u>SMALL ENTITY</u><br><u>RATE</u> | <u>FEE</u> | <u>OR</u> | <u>LARGE ENTITY</u><br><u>RATE</u> | <u>FEE</u> |
|---|------------------------------|------------------------------|------------------------------------|------------|-----------|------------------------------------|------------|
| BASIC FEE   |                              |                              | \$355                              | \$         | OR        | \$710                              | \$710.00   |
| TOTAL CLAIMS  | <u>8</u> -20 = <u>0</u>      |                              | x09 =                              | \$         | OR        | x18 =                              | \$         |
| INDEP CLAIMS  | <u>01</u> -03 = <u>0</u>     |                              | x40 =                              | \$         | OR        | x80 =                              | \$         |
| [ ] Multiple Dependent Claim Presented                                |                              |                              | \$135 =                            | \$         | OR        | \$270 =                            | \$         |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. |                              |                              | Total                              | \$         | OR        | Total                              | \$710.00   |


☒ Check in the amount of \$ 710.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 02-3964 (Order No. 60231-300104).

☒ Please send correspondence to the following address:

OPPENHEIMER WOLFF & DONNELLY, LLP  
1620 L Street NW  
Suite 600  
Washington, DC 20036  
(202) 312-8000

Date: March 31, 2001

  
\_\_\_\_\_  
**Brian R. Coleman**  
Registration No. 39,145

2 FEB 1



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Bib Data Sheet

CONFIRMATION NO. 9482

|   |   |                             |   |  |
|---|---|-----------------------------|---|--|
| <b>SERIAL NUMBER</b><br>09/823,628  | <b>FILING DATE</b><br>03/30/2001<br><b>RULE</b>   | <b>CLASS</b><br>345         | <b>GROUP ART UNIT</b><br>2675   | <b>ATTORNEY DOCKET NO.</b><br>60231-300104 |
| <b>APPLICANTS</b><br>Jonathan R. Cohen, San Francisco, CA;<br>Debby Hindus, San Francisco, CA;<br>Bonnie M. Johnson, Palo Alto, CA;<br>Andrew J. Singer, Palo Alto, CA;<br>Lisa J. Stifelman, Cambridge, CA;<br>William L. Verplank, Menlo Park, CA;<br>Scott C. Wallters, Cupertino, CA;<br>M. Margaret Withgott, Los Altos Hills, CA; |   |                             |   |  |
| <b>** CONTINUING DATA *****</b><br>THIS APPLICATION IS A DIV OF 08/801,085 02/14/1997 PAT 6,262,711<br>WHICH CLAIMS BENEFIT OF 60/001,875 08/03/1995  |   |                             |   |  |
| <b>** FOREIGN APPLICATIONS *****</b>  |   |                             |   |  |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b><br><b>** 04/20/2001</b>  |   |                             |   |  |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged _____<br>Examiner's Signature _____ Initials _____                              | <b>STATE OR COUNTRY</b><br>CA   | <b>SHEETS DRAWING</b><br>27 | <b>TOTAL CLAIMS</b><br>50   | <b>INDEPENDENT CLAIMS</b><br>4             |
| <b>ADDRESS</b><br>21912   |   |                             |   |  |
| <b>TITLE</b><br>Computerized interactor systems and methods for providing same  |   |                             |   |  |
| <b>FILING FEE RECEIVED</b><br>1330  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                             | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |  |